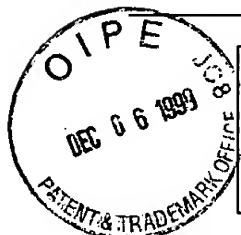


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: HUI, et al. Examiner: Unknown
Serial No.: 09/316,735 Group Art Unit: 2835
Filed: May 21, 1999 Docket: 12364.1US11
Notice of Allow. Date: Na Batch No.: Na
Due Date: December 14, 1999
Title: CORELESS PRINTED-CIRCUIT-BOARD (PCB) TRANSFORMERS AND OPERATING TECHNIQUES THEREFOR



CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box MISSING PARTS Assistant Commissioner for Patents, Washington, D.C. 20231, on December 2, 1999.

By: 
Michael D. Schumann

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

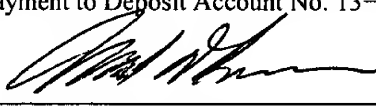
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Verified statement to establish small entity status
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Request for Extension of Time for 4 month(s) and fee of \$680.00
- ☒ Information Disclosure Statement, Form 1449, 8 Reference(s)
- ☒ Check(s) in the amount of \$65.00, for Missing Parts Surcharge; \$710.00 for Filing Fee
- ☒ Other: Communication Regarding Missing Parts; Copy of Notice to File Missing Parts
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
3100 Norwest Center, Minneapolis, MN 55402
(612) 332-5300

By: 
Name: Michael D. Schumann
Reg. No.: 30,422
MDS/sef

**UNITED STATES DEPARTMENT OF COMMERCE****Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/316,735

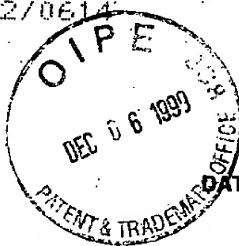
05/21/99 ✓

HUI

S 12364.1US11 ✓

MICHAEL D SCHUMANN
MERCHANT & GOULD PC
3100 NORWEST CENTER
MINNEAPOLIS MN 55402

0232/0614



NOT ASSIGNED

2835

DATE MAILED:

06/14/99

NOTICE TO FILE MISSING PARTS OF APPLICATION**Filing Date Granted**M Parts 2 - Aug 14, 1999
M Parts STAT - Dec 14, 1999

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 or a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

All required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 1550.

1. The statutory basic filing fee is:

- ☐ missing.
☒ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ 270 for 15 total claims over 20.\$ 390 for 5 independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application is filed in a language other than English.

An English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless statement that the translation is accurate (37 CFR 1.52(d)).

to "Attention: Box Missing Parts."

ce MUST be returned with the reply.

12/08/1999 KZENDIE 00000040 09316735

01 FC:201
02 CF-202

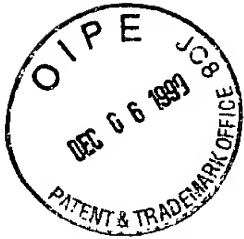
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S/N 09/316,735

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	HUI, et al.	Examiner:	Unknown
Serial No.:	09/316,735	Group Art Unit:	2835
Filed:	May 21, 1999	Docket No.:	12364.1US11
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By: 

Michael D. Schumann

COMMUNICATION REGARDING MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In connection with the above-identified application, enclosed please find the originally signed Declaration and Power of Attorney document and Verified Statement Claiming Small Entity Status. Also enclosed is our check in the amount of \$65.00 to cover the Missing Parts completion fee, as well as \$710.00 for the filing fee which was deferred at filing. Small Entity fees are being paid due to change of status.

Respectfully submitted,

MERCHANT & GOULD, P.C.
3100 Norwest Center
90 South Seventh Street
Minneapolis, Minnesota 55402
(612) 332-5300

Dated: December 2, 1999

By: 

Michael D. Schumann
Reg. No. 30,422

MDS/sef